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PATENT

Attorney's Docket No: 27373/34978A

Applicant or Patentee: Susan Lindquist, Liming Li, Jiyan Ma, Jia-Jia Liu,
Neal Sondheimer, and Thomas Scheibel

Serial or Patent No: 09/591,632

Filed or Issued: June 9, 2000

For: Recombinant Prion-Like Genes and Proteins and
Materials and Methods Comprising Same

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(d)) -- NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: HOWARD HUGHES MEDICAL INSTITUTE

ADDRESS OF ORGANIZATION: 4000 Jones Bridge Road
Chevy Chase, Maryland 20815-6789

TYPE OF ORGANIZATION

- ☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
- ☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3))
- ☒ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA

(NAME OF STATE _____)
(CITATION OF STATUTE _____)

- ☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501 (a) and 501 (c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA
- ☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
- (NAME OF STATE _____)
(NAME OF STATUTE _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled RECOMBINANT PRION-LIKE GENES AND PROTEINS AND MATERIALS AND METHODS COMPRISING SAME, by inventor(s) Susan Lindquist, Liming Li, Jiyan Ma, Jia-Jia Liu, Neal Sondheimer, and Thomas Scheibel described in

- ☐ The specification filed herewith.
- ☒ Application Serial No. 09/591,632, filed June 9, 2000.
- ☐ Patent No. _____, issued _____.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above-identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights in the invention is listed below, and must file separate verified statements averring to their status as small entities and that no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c), if that person made the invention, or by any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

FULL NAME: NONE

ADDRESS: _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Gerald M. Rubin, Ph.D.

TITLE IN ORGANIZATION: Vice President for Biomedical Research

ADDRESS OF PERSON SIGNING: 4000 Jones Bridge Road, Chevy Chase, MD, 20815

SIGNATURE: _____

Date: 2 Oct 2000



PATENT

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I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: ARCH DEVELOPMENT CORPORATION

ADDRESS OF ORGANIZATION: 5640 South Ellis, Suite 405, Chicago, Illinois 60637

TYPE OF ORGANIZATION

- ☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
- ☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3))
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FULL NAME: NONE

ADDRESS: _____

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Alan E. P. Thomas

TITLE IN ORGANIZATION: Assistant Secretary

ADDRESS OF PERSON SIGNING: 5640 South Ellis Ave. Chicago, IL.

SIGNATURE:  Date: 8/2/00